

3. Active NFFE

I certify that:

- Less than 50% of such entity's gross income for the preceding calendar year is passive income; and
- Less than 50% of the assets held by such entity at any time during the preceding calendar year are assets that produce or are held for the production of passive income. See the instructions for the definition of passive income. For the detailed definitions of Passive Income, please refer to Note 3.

4. Certified Deemed-Compliant Non- Profit Organization

I certify that: the entity is a non-profit organization and has provided a letter from counsel concluding that it meets the following requirements :

- The entity is established and maintained in its country of residence exclusively for religious, charitable, scientific, artistic, cultural or educational purposes;
- The entity is exempt from income tax in its country of residence;
- The entity has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
- The applicable laws of the entity's country of residence or the entity's formation documents do not permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or non-charitable entity other than pursuant to the conduct of the entity's charitable activities or as payment of reasonable compensation for services rendered or payment representing the fair market value of property which the entity has purchased; and
- The applicable laws of the entity's country of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets be distributed to an entity that is a foreign government, an integral part of a foreign government, a controlled entity of a foreign government, or another organization that is described in this Part or escheats to the government of the entity's country of residence or any political subdivision thereof.

Part 4: The information for each substantial U.S. owner

Name	Address	TIN	Percentage of Ownership

Part 5 : Information Reporting Authorization Statement

Statement: The enterprise agrees that:

- Guarantee the truthfulness, accuracy, completeness and legitimacy of the information provided in this statement, and shall bear any consequence caused by its failure to provide the information as required.
- In case of changes in the information provided by the customer in the statement, the customer shall notify Taiping Assets Management (HK) Company Limited ("Taiping") in writing within 30 working days upon occurrence of such changes, provide Taiping with updated version and related supporting documents according to the format of the statement, and go through change formalities. Effective date of such changes shall be the date agreed upon by the customer and Taiping, and any consequence caused by the customer's failure to notify Taiping of the changes shall be borne by the customer
- Taiping has the right to report related information on the enterprise and its important owners in the US to local/foreign tax authorities or other regulators according to regulatory requirements or the agreements between Taiping and domestic/foreign tax authorities; with respect to the matters involving the disclosure of information about the enterprise's important owners in the US, the enterprise has obtained the legal and valid authorizations from the parties concerned, and Taiping has the right to disclose related information according to the statement.

Customer Name : _____

Signature of Authorized Person _____

(Please sign and seal here)

DD MM YYYY