

## TAIPING INVESTMENT FUND

### Taiping Greater China New Momentum Equity Fund

#### Appendix D Tax Self-certification – Individual Customer

##### The U.S. / Non-U.S. status of customer

The tick boxes below are required fields, customer should check “√” in relevant boxes

I certify that I am a  U.S. individual  Non-U.S. individual

**\*For federal tax purposes, one is considered a U.S. person if he/she is an individual who is a U.S. citizen or U.S. resident alien.**

##### Customer (Applicant) statement and signature:

- The customer shall guarantee the truthfulness, accuracy, completeness and legitimacy of the information provided in this statement, and shall bear any consequence caused by its failure to provide the information as required.
- In case of changes in the information provided by the customer in the statement, the customer shall notify Taiping Assets Management (HK) Company Limited (“Taiping”) in writing within 30 working days upon occurrence of such changes, provide Taiping with updated version and related supporting documents according to the format of the Statement, and go through change formalities.
- Where customer fails to timely and accurately provide personal identity information to Taiping in accordance with the requirements on national (international) tax declaration which results in personal losses, the customer shall bear the responsibility for such losses on his own. The customer shall assume the whole responsibility in case of causing losses to Taiping.
- The customer authorizes Taiping to submit related information of the customer to relevant institutions according to the requirements on national (international) tax declaration.

##### Customer’s Signature :

\_\_\_\_\_

Name : \_\_\_\_\_

Date : \_\_\_\_\_