

太平証券(香港)有限公司
Taiping Securities (HK) Co Limited

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香港中環德輔道中 141 號中保集團大廈 2901 室
Licensed Corporation of Securities and Futures Commission (CE No. AAE494) &
證券及期貨事務監察委員會(“證監會”) 持牌法團中央編號 AAE494 及
Participant of The Stock Exchange of Hongkong Ltd. (Participant ID:01217)
香港聯合交易所有限公司(“聯交所”)參與者 (參與者編號: 01217)

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ASSESSMENT FORM FOR INDIVIDUAL PROFESSIONAL INVESTOR (“IPI”)
個人專業投資者評估表格 (「個人專業投資者」)

Part I: Assessment Form for IPI 第一部分：個人專業投資者評估表格

Assessment Date 評估日期: _____

- Initial Assessment 首次評估
- Additional Assessment (if the Customer requests to become IPI of other product type(s))
額外評估 (當客戶要求成為其他產品類別的個人專業投資者)
- Re-Assessment (if the Customer has ceased to trade in the relevant product or market for more than 2 years)
重新評估, 如客戶已停止於相關產品或市場買賣超過2年。

Please complete all of **Parts A to I** below and tick the appropriate boxes after sufficient proof has been obtained and checked to substantiate Customer’s IPI status.

在獲取及查核充分的證明以證實客戶的個人專業投資者身份後, 請填妥以下**A至I**的全部部分, 並在適當的方格內劃上「✓」號。

Taiping Securities (HK) Co Limited (“**Taiping**” or “**we**”) is currently licensed to engage in Type 1 (dealing in securities) regulated activity under the Securities and Futures Ordinance (Cap 571) (“**SFO**”).

太平証券(香港)有限公司(「**太平**」或「**我們**»)現時獲許可從事《證券及期貨條例》(第571章)(「《**證券及期貨條例**》»)項下的第1類(證券交易)受規管活動。

A. PARTICULARS OF CUSTOMER 客戶資料

Customer name 客戶姓名	Customer address 客戶地址
Joint Account Holder name 聯權共有賬戶持有人姓名	Joint Account Holder address 聯權共有賬戶持有人地址
Taiping Customer number 太平客戶號碼	Securities Cash Account number 證券現金賬戶號碼
Securities Margin Account number (if applicable) 證券保證金賬戶號碼 (如適用)	
Education Level 教育程度	<input type="checkbox"/> Primary or below 小學或以下 <input type="checkbox"/> Secondary 中學 <input type="checkbox"/> College or University 大專或大學 <input type="checkbox"/> Post-Graduate 大學以上
Employment Status 就業情況	<input type="checkbox"/> Full-time employed 全職受僱 <input type="checkbox"/> Part-time employed 兼職 <input type="checkbox"/> Self-employed 自僱 <input type="checkbox"/> Retired 退休 <input type="checkbox"/> Others (Please specify) 其他 (請註明): _____
Estimated Annual Income (HK\$) 估計每年收入(港幣)	<input type="checkbox"/> <\$100,000 <input type="checkbox"/> \$100,000 - \$500,000 <input type="checkbox"/> \$500,001 - \$1,000,000 <input type="checkbox"/> Others (please specify) 其他 (請註明)
Approximate Net Worth (HK\$) 估計資產淨值(港幣)	<input type="checkbox"/> <\$200,000 <input type="checkbox"/> \$200,000 - \$1,000,000 <input type="checkbox"/> \$1,000,001 - \$8,000,000 <input type="checkbox"/> Others (please specify) 其他 (請註明)
Ownership of Residence 住所業權	<input type="checkbox"/> Self-owned (Mortgaged) 已抵押自置物業 Monthly instalment 每月供款 HK\$ _____ <input type="checkbox"/> Self-owned (Not Mortgaged) 無抵押自置物業 <input type="checkbox"/> Rent 租用物業 <input type="checkbox"/> Quarters/宿舍 <input type="checkbox"/> Living with Family 與家人同住 <input type="checkbox"/> Others (Please specify): _____其他 (請註明)

Source of Wealth 財富來源	<input type="checkbox"/> Salary 薪金 <input type="checkbox"/> Commission 佣金 <input type="checkbox"/> Business Profit 營業溢利 <input type="checkbox"/> Rent 租金 <input type="checkbox"/> Dividend/Interest 股息/利息 <input type="checkbox"/> Inheritance/Gift 遺產繼承/饋贈 <input type="checkbox"/> Sale of Investments 出售投資 <input type="checkbox"/> Sale of Property/Asset <input type="checkbox"/> Retirement Fund 退休金 <input type="checkbox"/> Others (Please specify): _____ 其他 (請註明)
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B. PORTFOLIO ADEQUACY TEST 資產充足度測試

(Please refer to the definition of PI stated in Paragraph (b) under section 3 of the Securities and Futures (Professional Investor) Rules (“PI Rules”).)

(有關專業投資者的定義，請參考《證券及期貨(專業投資者)規則》(「(專業投資者)規則」)第3條(b)段。)

(Please tick the box(es) where applicable): (請在適當方格內劃上「✓」號)

Legal Form of Investor 投資者的組成方式	Criteria 準則	Supporting Document(s) 證明文件
<input type="checkbox"/> Individual 個人	An individual either alone or with his/her associates on a joint account having a portfolio of not less than HK\$8 million or its equivalent in any foreign currency at the relevant date 個人單獨或與其關聯人士在聯權共有賬戶內在有關日期擁有的投資組合不少於港幣8,000,000元或等值外幣	<input type="checkbox"/> a certificate issued by an auditor or a certified public accountant of the individual within 12 months 由核數師或註冊會計師於過去12個月內發出的證明書 <input type="checkbox"/> a custodian statement issued to the individual within 12 months before the relevant date 在有關日期前12個月內向個人發出的保管人證明書 <input type="checkbox"/> a public filing submitted by or on behalf of the individual within 12 months before the relevant date 在有關日期前12個月內，由個人或其代表提交的公開檔案

Portfolio means a portfolio comprising any of the following:

投資組合指由任何以下項目所組成的投資組合：

- (a) **securities;**
證券；
- (b) a **certificate of deposit** (e.g. bank monthly statement) issued by (i) an authorized financial institution; or (ii) a bank which is not an authorized financial institution but is regulated under the law of any place outside Hong Kong;
由(i)認可財務機構發出的**存款憑證**(例如：銀行月結單)；或(ii)並非認可財務機構但根據香港以外地方的法律受規管的銀行發行的**存款憑證**；
- (c) in relation to an individual, money held by a custodian for the individual.
就任何個人而言，由保管人替該個人持有的款項。

C. CRITERIA FOR QUALIFYING AS AN IPI 合資格成為個人專業投資者的準則

Assessment Criteria 評估準則	Supporting Document(s) 證明文件
<p>(please tick “✓” as appropriate) (請在適當方格內劃上「✓」號)</p> <input type="checkbox"/> the Individual PI has <u>sufficient investment background</u> (including the investment experience of Customer); and 個人專業投資者具備 充分的投資資歷 (包括客戶的投資經驗)；及 <input type="checkbox"/> the Individual PI is aware of the risks involved. 個人專業投資者對所涉及的風險有所認知。	<p>(please tick “✓” as it has done and/or obtained) (請在已完成和/或取得的項目方格內劃上「✓」號)</p> <input type="checkbox"/> Please complete Part D to Part I and submit any supporting documents showing the expertise of Customer (e.g. Academic/ Professional Qualification e.g. CFA) 請完成下面的D至I部分並提交證明客戶專業知識的任何證明文件(例如學歷 / 專業資格, 如特許金融分析師) <input type="checkbox"/> Any supporting documents showing the awareness of terms and risks (e.g. a signed Risk Disclosure Statement, Prospectus, or Offering Document) 證明條款與風險認知的任何證明文件(例如經簽名的風險披露聲明、招股說明書或發售文件)

D. IPI ASSESSMENT — SUFFICIENT INVESTMENT BACKGROUND CONFIRMATIONS

個人專業投資者評估 — 充分投資資歷確認

Objectives: To prove that Customer has sufficient investment background in the relevant product(s) and market(s).

目標：證明負責客戶具備充分的相關產品和市場投資資歷。

(Please answer ALL of the following questions for each of the product(s) and market(s) separately and provide supporting account statement(s) / trade confirmation(s) / declaration(s) of academic background and/or professional qualification / proof of PI status/ other proof(s) to substantiate answers below:)

(就每個產品和市場，請分別回答以下所有問題，並提供賬戶結單 / 交易確認書 / 學術背景及/或專業資格的聲明 / 專業投資者的身份證明/其他證明文件以證實下列的答案：)

() **Product Type:** _____ **Relevant Market(s):** _____

產品類別：

相關市場：

Q1. Does Customer have knowledge and/or investment experience in the same type of product and market; and/or product(s) of similar features / nature?

問題1：客戶是否擁有同類型產品及市場；及/或有相似特點/性質的產品的知識及/或投資經驗？

Yes 是 No 否

Q2. If answer to Q1 is Yes, please answer the following question(s) and obtain supporting documents / records from Customer where applicable and necessary:

問題2：若問題1的答案為“是”，請回答以下問題並從客戶獲取證明文件 / 記錄（如適用及需要）：

(i) If product(s) of similar features/nature is/are being considered in this assessment, what is/are the name(s) of the similar product(s) and feature(s)?

若在此次評估中採用了有相似特點/性質的產品，該產品的名稱及相關特點是什麼？

(ii) How did Customer obtain the relevant investment knowledge? (e.g. title(s) of academic qualification(s) or training(s))

客戶如何獲得相關投資知識？（例如：學歷或培訓課程名稱）

(iii) How did Customer obtain the relevant working experience? (e.g. name(s) of relevant employer(s), job title(s) and length of service(s))

客戶如何獲得相關工作經驗？（例如：相關僱主名稱，職位及服務年期）

(iv) Does Customer possess any relevant licence /professional status? (e.g. SFC licence / HKMA registration / CFP / CFA / CPA etc.)

客戶是否持有相關的牌照/專業資格？（例如證監會牌照 / 金管局註冊 / 特許財務策劃師 / 特許金融分析師 / 註冊會計師等資格）

Yes 是 No 否 (If yes, please specify) (如是，請註明)

(v) Has Customer obtained PI status from other intermediary(ies)?

客戶是否已獲得由其他中介人確認的專業投資者地位？

Yes 是 No 否

(If yes, please specify) _____

(vi) Please specify the total trading volume and number of transactions Customer was involved in within the past 2 years? (Note: One buy and one sell are counted as two transactions.)

請指出在過去2年客戶的總交易量及交易次數。（註：一買一賣計算為兩次交易。）

Trading Volume交易金額: _____

No. of transaction(s) 交易次數: _____

Q3. Additional notes on Customer's investment background in relation to the same type(s) of product(s) and/or product(s) of similar features/nature (where applicable).

問題3：客戶在對所提供相同產品類別及/或有相似特點 / 性質的產品的投資資歷的附加註釋（如適用）。

(Note: Please use (and number) additional sheets for more product(s) where necessary)

(註：如有需要，請使用額外紙張填寫更多產品（並標註頁碼）)

IMPORTANT NOTES: 重要提示:	
<p>If Customer's investment background in relation to the relevant product (based on information provided by Customer in Q2) is "insufficient", unless further information/ proof is provided by Customer to substantiate, to the satisfaction of Taiping, its investment background in the relevant product(s) (and/ or product(s) of similar features/ nature) and/or market(s), then in respect of the Customer, the provisions under section 15.5 of the Code of Conduct will NOT be exempted.</p> <p>如果客戶對相關產品（基於客戶在問題2提供的資料）沒有充分的投資資歷，除非客戶能提供進一步的資料 / 證明以證實其在相關產品（及/或有相似特點/性質的產品）及/或市場方面的投資資歷，且為或太平信納，否則客戶將不會獲得操守準則第15.5條項下的豁免。</p>	
E. CUSTOMER INVESTMENT EXPERIENCE AND OBJECTIVE 客戶投資經驗及目的	
Investment Experience (to be described by Customer) 投資經驗 (由客戶說明)	1 – has limited or no knowledge and experience 有限的或沒有知識及經驗 2 – has some knowledge and experience 具有一定的知識或經驗 3 – has reasonable knowledge or experience 具有合理的知識或經驗 4 – has considerable knowledge or experience 具有相當的知識或經驗 5 – has advanced knowledge or experience 具有深度知識或經驗 6 – has professional knowledge and experience 具有專業知識及經驗 <input type="checkbox"/> Equity 證券 <input type="checkbox"/> Futures and Options 期貨和期權 <input type="checkbox"/> Fixed Income Products 固定收益產品 <input type="checkbox"/> Mutual Funds/ Unit Trusts 互惠基金 / 單位信託 <input type="checkbox"/> OTC Swap / Accumulators / Decumulators 場外掉期 / 累計認購期權 / 累計認沽期權 <input type="checkbox"/> Other Structured Notes 其他結構性票據 <input type="checkbox"/> Others (Please specify): 其他 (請註明)
Investment Distribution 投資分佈	<input type="checkbox"/> HK Listed Securities 香港上市證券 _____ years 年 <input type="checkbox"/> Overseas Listed Securities 香港以外上市證券 _____ years 年 <input type="checkbox"/> HK Futures and Options 香港期貨及期權 _____ years 年 <input type="checkbox"/> Overseas Futures and Options 香港以外期貨及期權 _____ years 年 <input type="checkbox"/> Others (please specify) 其他 (請註明) _____
Investment Instruments 投資工具	<input type="checkbox"/> Equity 證券: _____ years 年 <input type="checkbox"/> Futures and Options 期貨和期權: _____ years 年 <input type="checkbox"/> Fixed Income Products 固定收益產品: _____ years 年 <input type="checkbox"/> Mutual Funds / Unit Trusts 互惠基金 / 單位信託: _____ years 年 <input type="checkbox"/> OTC Swap / Accumulators / Decumulators: _____ years 年 場外掉期 / 累計認購期權 / 累計認沽期權 <input type="checkbox"/> Other Structured Notes 其他結構性票據: _____ years 年 <input type="checkbox"/> Others (Please specify) 其他 (請註明): _____: _____ years 年
Average No. of Trades per year 每年平均交易數目	<input type="checkbox"/> Below 50 少於50 <input type="checkbox"/> 50-100 <input type="checkbox"/> 100 or above 或以上
Average Size per Trade (HK\$) 每宗交易平均金額 (以港幣計)	<input type="checkbox"/> \$10,000 <input type="checkbox"/> \$ 10,000 –\$ 50,000 <input type="checkbox"/> \$ 50,000 –\$ 1,000,000 <input type="checkbox"/> \$ 1,000,000 or above 或以上
Customer's Rating of the Level of Risks Involved in Investing the following Products 客戶對投資以下產品所評估的風險程度	1 – very low risk 非常低風險 2 – low risk 低風險 3 – moderate risk 中等風險 4 – high risk 高風險 5 – very high risk 非常高風險 6 – extremely high risk 極高風險 <input type="checkbox"/> Equity 證券 <input type="checkbox"/> Futures and Options 期貨和期權 <input type="checkbox"/> Fixed Income Products 固定收益產品 <input type="checkbox"/> Mutual Funds/ Unit Trusts 互惠基金 / 單位信託 <input type="checkbox"/> OTC Swap / Accumulators / Decumulators 場外掉期 / 累計認購期權 / 累計認沽期權 <input type="checkbox"/> Other Structured Notes 其他結構性票據 <input type="checkbox"/> Others (Please specify) 其他 (請註明): _____
Customer's Educational / Professional Qualifications 客戶的學歷 / 專業資格	<input type="checkbox"/> Passes in Hong Kong Securities Institute Diploma Programme Examination Papers 1 and 3 香港證券及投資學會卷一及卷三考試合格 <input type="checkbox"/> Passes in Hong Kong Securities Institute Licensing Examination Papers 7 & 8 香港證券及投資學會證券及期貨從業員資格考試卷七及卷八考試合格 <input type="checkbox"/> Degree in accounting, business administration, economics, finance or law; or other degree or above (with passes in at least two courses in the above disciplines)

	<p>會計 / 工商管理 / 經濟 / 財務 / 法律學位;或其他學位或以上 (並取得不少於上述任何兩個課程的合格資格)</p> <p><input type="checkbox"/> International recognized professional qualifications in law, accounting, or finance (including Chartered Financial Analyst (CFA), Certified International Investment Analyst (CIIA) and Certified Financial Planner (CFP))</p> <p>國際認可的法律 / 會計 / 財務專業資格 (包括特許金融分析師、註冊國際投資分析師及認可財務策劃師)</p> <p><input type="checkbox"/> Passes in English or Chinese, and Mathematics in HKCEE or equivalent 香港中學會考證書英文或中文及數學合格或同等學歷</p> <p><input type="checkbox"/> Others (Please Specify) 其他 (請註明) _____</p>
<p>Customer's Working Experience in Dealing in the following Products 客戶對以下產品的交易工作經驗</p>	<p><input type="checkbox"/> Equity 證券: _____ years 年</p> <p><input type="checkbox"/> Futures and Options 期貨和期權: _____ years 年</p> <p><input type="checkbox"/> Fixed Income Products 固定收益產品: _____ years 年</p> <p><input type="checkbox"/> Mutual Funds / Unit Trusts 互惠基金 / 單位信託: _____ years 年</p> <p><input type="checkbox"/> OTC Swap / Accumulators / Decumulators : _____ years 場外掉期 / 累計認購期權 / 累計認沽期權</p> <p><input type="checkbox"/> Other Structured Notes 其他結構性票據: _____ years 年</p> <p><input type="checkbox"/> Others (Please specify): 其他 (請註明): _____: _____ years 年</p>
<p>Training or Attended Courses Relating to the following Products by Customer 客戶曾接受以下產品的培訓或曾修讀有關課程</p>	<p>Name of Training / Course 課程名稱: _____</p> <p>Name of Institution 主辦機構: _____</p> <p>Year of Attendance 修讀年份: _____</p> <p>Coverage of the Training / Course 課程內容:</p> <p><input type="checkbox"/> Equity 證券</p> <p><input type="checkbox"/> Futures and Options 期貨和期權</p> <p><input type="checkbox"/> Fixed Income Products 固定收益產品</p> <p><input type="checkbox"/> Mutual Funds/ Unit Trusts 互惠基金 / 單位信託</p> <p><input type="checkbox"/> OTC Swap / Accumulators / Decumulators 場外掉期 / 累計認購期權 / 累計認沽期權</p> <p><input type="checkbox"/> Other Structured Notes 其他結構性票據</p> <p><input type="checkbox"/> Others (Please specify): 其他 (請註明): _____</p>
<p>Investment Target 投資目標</p>	<p><input type="checkbox"/> Short Term (less than 3 months) 短期(少於3個月)</p> <p><input type="checkbox"/> Medium Term (3-24 months) 中期 (3-24個月)</p> <p><input type="checkbox"/> Long Term (over 2 years) (多於2年)</p>
<p>Investment Objective 投資目的</p>	<p><input type="checkbox"/> Capital Growth 資本增長</p> <p><input type="checkbox"/> Dividend Income 股息收入</p> <p><input type="checkbox"/> Speculative 投機</p> <p><input type="checkbox"/> Hedging 對沖</p>
<p>Anticipated Level of Investment Activity 預期交易活動</p>	<p>Total Transaction Amount (HKD) 總交易金額:</p> <p><input type="checkbox"/> HK\$0 - HK\$5,000,000</p> <p><input type="checkbox"/> HK\$5,000,001 – HK\$10,000,000</p> <p><input type="checkbox"/> HK\$10,000,001 – HK\$30,000,000</p> <p><input type="checkbox"/> >HK\$30,000,000</p>
<p>Anticipated Return from Investments 預期投資回報</p>	<p><input type="checkbox"/> 0~4% per annum 每年0~4%</p> <p><input type="checkbox"/> 5-9% per annum 每年5-9%</p> <p><input type="checkbox"/> 10-15% per annum 每年10-15%</p> <p><input type="checkbox"/> over 15% per annum 每年超過15%</p>
<p>Customer DOES NOT want to invest in any of the following investment instruments: 客戶不欲投資以下投資工具：</p>	
<p><input type="checkbox"/> Equity 證券</p> <p><input type="checkbox"/> Futures and Options 期貨和期權</p> <p><input type="checkbox"/> Fixed Income Products 固定收益產品</p> <p><input type="checkbox"/> Mutual Funds/ Unit Trusts 互惠基金 / 單位信託</p> <p><input type="checkbox"/> OTC Swap / Accumulators / Decumulators 場外掉期 / 累計認購期權 / 累計認沽期權</p> <p><input type="checkbox"/> Other Structured Notes 其他結構性票據</p> <p><input type="checkbox"/> Others (Please specify): 其他 (請註明): _____</p>	
<p>Other investment guidelines/restriction: 其他投資指引 / 限制：</p> <p><input type="checkbox"/> None 無 <input type="checkbox"/> Yes (please specify): 有 (請註明): _____</p>	

Schedule 1 附件 1

Definition of Professional Investor 專業投資者的定義

The Securities and Futures Ordinance (Cap 571) ("SFO") provides the following definition of "Professional Investor":

《證券及期貨條例》(第 571 章) (「證券及期貨條例」) 提供以下有關「專業投資者」的定義：

- (a) any recognized exchange company, recognized clearing house, recognized exchange controller or recognized investor compensation company, or any person authorized to provide automated trading services under section 95(2) of SFO;
認可交易所、認可結算所、認可控制人或認可投資者賠償公司，或根據《證券及期貨條例》第 95 (2) 條獲認可提供自動化交易服務的人；
- (b) any intermediary, or any other person carrying on the business of the provision of investment services and regulated under the law of any place outside Hong Kong;
中介人，或經營提供投資服務的業務並受香港以外地方的法律規管的其他人；
- (c) any authorized financial institution, or any bank which is not an authorized financial institution but is regulated under the law of any place outside Hong Kong;
認可財務機構，或並非認可財務機構但受香港以外地方的法律規管的銀行；
- (d) any insurer authorized under the Insurance Ordinance (Cap 41), or any other person carrying on insurance business and regulated under the law of any place outside Hong Kong;
根據《保險業條例》(第 41 章) 獲授權的保險人，或經營保險業務並受香港以外地方的法律規管的任何其他人；
- (e) any scheme which-
符合以下說明的計劃-
- (i) is a collective investment scheme authorized under section 104 of SFO; or
屬根據《證券及期貨條例》第 104 條獲認可的集體投資計劃；或
- (ii) is similarly constituted under the law of any place outside Hong Kong and, if it is regulated under the law of such place, is permitted to be operated under the law of such place, or any person by whom any such scheme is operated;
以相似的方式根據香港以外地方的法律成立，並（如受該地方的法律規管）根據該地方的法律獲准許營辦，或營辦任何該等計劃的人；
- (f) any registered scheme as defined in section 2(1) of the Mandatory Provident Fund Schemes Ordinance (Cap 485), or its constituent fund as defined in section 2 of the Mandatory Provident Fund Schemes (General) Regulation (Cap 485 sub. leg. A), or any person who, in relation to any such registered scheme, is an approved trustee or service provider as defined in section 2(1) of that Ordinance or who is an investment manager of any such registered scheme or constituent fund;
《強制性公積金計劃條例》(第 485 章) 第 2(1) 條界定的註冊計劃，或《強制性公積金計劃（一般）規例》(第 485 章，附屬法例 A) 第 2 條界定的該等計劃的成分基金，或就任何該等計劃而言屬該條例第 2(1) 條界定的核准受託人或服務提供者或屬任何該等計劃或基金的投資經理的人；
- (g) any scheme which-
符合以下說明的計劃-
- (i) is a registered scheme as defined in section 2(1) of the Occupational Retirement Schemes Ordinance (Cap 426); or
屬《職業退休計劃條例》(第 426 章) 第 2(1) 條界定的註冊計劃；或
- (ii) is an offshore scheme as defined in section 2(1) of that Ordinance and, if it is regulated under the law of the place in which it is domiciled, is permitted to be operated under the law of such place, or any person who, in relation to any such scheme, is an administrator as defined in section 2(1) of that Ordinance;
屬該條例第 2(1) 條界定的離岸計劃，並（如以某地方為本籍而受該地方的法律規管）根據該地方的法律獲准許營辦，或就任何該等計劃而言屬該條例第 2(1) 條界定的管理的人；
- (h) any government (other than a municipal government authority), any institution which performs the functions of a central bank, or any multilateral agency;
任何政府（市政府當局除外）、執行中央銀行職能的任何機構，或任何多邊機構；
- (i) except for the purposes of Schedule 5 to SFO, any corporation which is-
(除為施行《證券及期貨條例》附表 5 外) 符合以下說明的任何法團-
- (i) a wholly owned subsidiary of-
屬下述者的全資附屬公司-
- (A) an intermediary, or any other person carrying on the business of the provision of investment services and regulated under the law of any place outside Hong Kong; or
中介人，或經營提供投資服務的業務並受香港以外任地方的法律規管的其他人；或
- (B) an authorized financial institution, or any bank which is not an authorized financial institution but is regulated under the law of any place outside Hong Kong;
認可財務機構，或並非認可財務機構但受香港以外地方的法律規管的銀行；
- (ii) a holding company which holds all the issued share capital of-
屬持有下述者的所有已發行股本的控股公司-
- (A) an intermediary, or any other person carrying on the business of the provision of investment services and regulated under the law of any place outside Hong Kong; or
中介人，或經營提供投資服務的業務並受香港以外地方的法律規管的其他人；或
- (B) an authorized financial institution, or any bank which is not an authorized financial institution but is regulated under the law of any place outside Hong Kong; or
認可財務機構，或並非認可財務機構但受香港以外地方的法律規管的銀行；或
- (iii) any other wholly owned subsidiary of a holding company referred to in subparagraph (ii); or
屬第(ii)分節提述的控股公司的任何其他全資附屬公司；或
- (j) any person of a class which is prescribed by rules made under section 397 of SFO for the purposes of this paragraph as within the meaning of this definition for the purposes of the provisions of SFO, or to the extent that it is prescribed by rules so made as within the meaning of this definition for the purposes of any provision of SFO.
屬於為施行本段而藉根據《證券及期貨條例》第 397 條訂立的規則訂明為就《證券及期貨條例》條文屬本定義所指的類別的任何人，或（如為施行本段而藉如此訂立的規則訂明某類別為就《證券及期貨條例》任何條文屬本定義所指的類別）在該範圍內屬於該類別的人。

For the purposes of paragraph (j) of the definition of "professional investor" in section 1 of Part 1 of Schedule 1 to the Ordinance, the following persons are prescribed as within the meaning of that definition for the purposes of any provision of the Ordinance other than Schedule 5-

為施行該條例附表 1 第 1 部第 1 條「專業投資者」的定義的 (j) 段，現就該條例的任何條文（附表 5 除外）訂明以下人屬該定義所指的人：

- (a) **any trust corporation** (note 4) having been entrusted under the trust or trusts of which it acts as a trustee with total assets of not less than \$40 million or its equivalent in any foreign currency at the relevant date (note 1) or as ascertained by referring to any one or more of the following:
符合以下說明的任何信託法團 (註4)：擔任一項或多於一項信託的信託人，而在該等信託下獲託付的總資產在有關日期 (註1) 不少於 40,000,000 元或等值外幣，或透過參照以下任何一份或多於一份而獲確定：
- (i) the most recent audited financial statement prepared in respect of the trust corporation (note 4) within 16 months before the relevant date (note 1);
已載於就該信託法團 (註4) 及在有關日期 (註1) 前 16 個月內，擬備的最近期的經審計的財務報表內；
- (ii) any one or more of the following documents issued or submitted within 12 months before the relevant date:
在有關日期前 12 個月內發布或提交的以下任何一份或多份文件：
- (A) a statement of account or a certificate issued by a custodian;
保管人發出的賬戶結單或證明書；
- (B) a certificate issued by an auditor or a certified public accountant;
核數師或會計師發出的證明書；
- (C) a public filing (note 6) submitted by or on behalf of the trust corporation (whether on its own behalf or in respect of a trust of which it acts as a trustee).
由信託公司或代表信託公司呈交的公開檔案 (註6) (不論是代表其本身或就其作為受託人的信託而言)。
- (b) **any individual**, having a portfolio of not less than \$8 million or its equivalent in any foreign currency at the relevant date, or the portfolio of the individual is to be ascertained by referring to any one or more of the following issued or submitted within 12 months before the relevant date:
符合以下說明的任何個人：擁有的投資組合在有關日期不少於 8,000,000 元或等值外幣，或個人的投資組合通過參照有關日期前 12 個月內發布或提交以下任何一份或多份文件而獲確定：
- (i) a statement of account or a certificate issued by a custodian;
保管人發出的賬戶結單或證明書；
- (ii) a certificate issued by an auditor or a certified public accountant;
核數師或會計師發出的證明書；
- (iii) a public filing (note 6) submitted by or on behalf of the individual,
由個人或代表個人呈交的公開檔案。(註6)，
- (iv) when any one or more of the following are taken into account:
當考慮以下任何一項或多項時：
- (A) a portfolio on the individual's own account;
該個人賬戶中的投資組合；
- (B) a portfolio on a joint account with the individual's associate;
該個人聯同其有聯繫者於某聯權共有賬戶內的投資組合；
- (C) the individual's share of a portfolio on a joint account* with one or more persons other than the individual's associate;
該個人在聯同一名或多於一名其有聯繫者以外的人士於某聯權共有賬戶內的投資組合中所佔部分*；
- (D) a portfolio of a corporation which, at the relevant date, has as its principal business the holding of investments and is wholly owned by the individual.
在有關日期的主要業務是持有投資項目並在有關日期由該個人全資擁有的法團的投資組合；
- *an individual's share of a portfolio on a joint account with one or more persons other than the individual's associate is:
*某名個人在聯同一名或多於一名其有聯繫者以外的人士於某聯權共有賬戶內的投資組合中所佔部分：
- (i) the individual's share of the portfolio as specified in a written agreement among the account holders; or
為賬戶持有人之間訂立的書面協議中指明，該個人於該投資組合中所佔部分；
- (ii) in the absence of an agreement referred to in paragraph (i), an equal share of the portfolio.
在並無第(i)段提及的協議的情況下，為該投資組合中平均所佔部分。
- (c) **any corporation or partnership** having a portfolio (note 3) of not less than \$8 million or its equivalent in any foreign currency or total assets of not less than \$40 million or its equivalent in any foreign currency at the relevant date (note 1), or ascertained by referring to any one or more of the following:
符合以下說明的任何法團或合夥：擁有的投資組合 (註3) 在有關日期 (註1) 不少於 8,000,000 元或等值外幣或擁有的總資產在有關日期 (註1) 不少於 40,000,000 元或等值外幣，或通過參照任何以下一項或多項而獲確認：
- (i) the most recent audited financial statement prepared in respect of the corporation or partnership within 16 months before the relevant date (note 1);
在有關日期前 16 個月內為法團或合夥擬備的最近期的經審計財務報表內 (註1)；
- (ii) any one or more of the following documents issued or submitted within 12 months before the relevant date:
在有關日期前 12 個月內發布或提交的以下任何一份或多份文件：
- (A) a statement of account or a certificate issued by a custodian;
由保管人發出的賬戶結單或證明書；
- (B) a certificate issued by an auditor or a certified public accountant;
由核數師或會計師發出的證明書；
- (C) a public filing (note 6) submitted by or on behalf of the corporation or partnership.
由或代表該法團或合夥呈交的公開檔案 (註6)，
- (d) **any corporation** the sole business of which at the relevant date (note 1) is to hold investments and which at the relevant date (note 1) is wholly owned by any one or more of the following persons:
在有關日期 (註1) 的唯一業務是持有投資項目及在有關日期 (註1) 由以下任何一名或多於一名人士全資擁有的任何法團：
- (i) a trust corporation (note 4) that falls within the description in paragraph (a);
符合(a)段描述的信託法團 (註4)；
- (ii) an individual who, either alone or with any of his associates (note 2) on a joint account, falls within the description in paragraph (b);
符合(b)段描述的個人(不論是單獨或聯同其有聯繫者 (註2) 於某聯權共有賬戶)；
- (iii) a corporation that falls within the description in paragraph (c);
符合(c)段描述的法團；
- (iv) a partnership that falls within the description in paragraph (c).
符合(c)段描述的合夥。

Note 1: relevant date:

註1：有關日期：

<p>(a) in the case of an advertisement, invitation or document described in section 103(3)(k) of the Securities and Futures Ordinance, means the date on which the advertisement, invitation or document is issued, or possessed for the purposes of issue; 就《證券及期貨條例》第103(3)(k)條所描述的廣告、邀請或文件而言，指發出或為發出而管有該廣告、邀請或文件的日期；</p> <p>(b) in the case of a call described in section 174(2)(a) of the Securities and Futures Ordinance, means the date on which the call is made; 就《證券及期貨條例》第174(2)(a)條所描述的造訪而言，指進行該造訪的日期；</p> <p>(c) in the case of an offer described in section 175(5)(d) of the Securities and Futures Ordinance, means the date on which the offer is made; or 就《證券及期貨條例》第175(5)(d)條所描述的要約而言，指提出該要約的日期；或</p> <p>(d) in any other case which, by virtue of any rules made under the Securities and Futures Ordinance, requires compliance with an obligation, means the date by or on which the obligation is required to be complied with. 就憑藉根據《證券及期貨條例》訂立的規則而規定須於某日期或之前或須於某日期履行某項責任的其他情況而言，指該日期。</p>
<p>Note 2: “associate”, in relation to an individual, means the spouse or any child of the individual. 註2: 「有聯繫者」就任何個人而言，指該人的配偶或任何子女。</p>
<p>Note 3: “portfolio” means a portfolio comprising any of the following: 註3: 「投資組合」指由任何下述項目組成的投資組合:</p> <p>(a) securities; 證券；</p> <p>(b) a certificate of deposit issued by (i) an authorized financial institution; or (ii) a bank which is not an authorized financial institution but is regulated under the law of any place outside Hong Kong; 由(i)認可財務機構發行的或(ii)並非認可財務機構但根據香港以外地方法律受規管的銀行發行的存款證；</p> <p>(c) money held by a custodian (note 5) for the individual, corporation or partnership. 由保管人(註5)替該個人、法團或合夥持有的款項。</p>
<p>Note 4: “trust corporation” means- 註4: 「信託法團」指:</p> <p>(a) any trust company registered under Part 8 of the Trustee Ordinance (Cap 29); or 根據《受託人條例》(第29章)第8部註冊的任何信託公司；或</p> <p>(b) any other corporation which- 符合以下說明的其他法團—</p> <p>(i) carries on a business which is of a nature similar to that of a trust company referred to in paragraph (a); and 所經營的業務的性質與(a)段提述的信託公司所經營的業務的性質相似；及</p> <p>(ii) is regulated under the laws of any place outside Hong Kong. 根據香港以外地方的法律受規管。</p>
<p>Note 5: “custodian” means:- 註5: 「保管人」指:</p> <p>(a) a corporation the principal business of which is to act as a custodian of securities or other property for another person, whether on trust or by contract; or 主要業務是作為另一人的證券或其他財產的保管人(不論是以信託或合約形式保管)的法團；或</p> <p>(b) any of the following persons whose business includes acting as a custodian (note 5) of securities or other property for another person, whether on trust or by contract: - 任何業務包括作為另一人的證券或其他財產的保管人(不論是以信託或合約形式保管)的下述人:</p> <p>(i) an authorized financial institution; 認可財務機構；</p> <p>(ii) a bank which is not an authorized financial institution but is regulated under the law of any place outside Hong Kong; 並非認可財務機構但根據香港以外地方的法律受規管的銀行；</p> <p>(iii) a licensed corporation; 持牌法團；</p> <p>(iv) a person carrying on the business of the provision of investment services and regulated under the law of any place outside Hong Kong. 經營提供投資服務的業務並根據香港以外地方的法律受規管的人。</p>
<p>Note 6: “public filing” means a document that, pursuant to the legal or regulatory requirements in Hong Kong or in a place outside Hong Kong, has been submitted to a person or body that is under a duty to publish the document to, or otherwise make the document available for inspection by, members of the public in Hong Kong or in a place outside Hong Kong, by or on behalf of: 註6: 「公開文件」指根據香港或香港以外地方的法律或法規要求已提交給有責任將該文件發布或以其他方法，由以下人士或代表以下人士將該文件供香港或香港以外地方的公眾人士查閱:</p> <p>(a) a trust corporation (whether on its own behalf or in respect of a trust of which it acts as a trustee); 信託法團(不論是代表其本身或就其作為受託人的信託而言)；</p> <p>(b) an individual; 個人；</p> <p>(c) a corporation (other than a trust corporation referred to in paragraph (a)); or 法團((a)段所指的信託法團除外)；或</p> <p>(d) a partnership. 合夥。</p>

Part II: Notice of Treatment as an Individual Professional Investor (“Notice”)

第二部分：被視為個人專業投資者身份的通知書

Date 日期：

Customer Name 客戶姓名：

Joint Account Holder Name 聯權共有賬戶持有人姓名：

Securities Cash Account Number 證券現金賬戶號碼：

Securities Margin Account Number 證券保證金賬戶號碼：

Under the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission (as amended from time to time) (the “Code”) issued by the Securities and Futures Commission of Hong Kong, we may, in dealing with a customer, classify such customer as a ‘Professional Investor’ where we believe such customer falls within the definition of “Professional Investor” under the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong) (the “SFO”) and the rules made thereunder.

根據香港證券及期貨事務監察委員會發出的《證券及期貨事務監察委員會持牌人或註冊人操守準則》(經不時修訂)(「《操守準則》」)，我們與客戶進行交易時，如我們認為客戶屬於《證券及期貨條例》(香港法例第 571 章)(「《證券及期貨條例》」)項下「專業投資者」的定義之列，會將該客戶歸類為「專業投資者」。

In light of your knowledge, expertise, experience, resources and/or your regulatory registration status in the Hong Kong Special Administrative Region of the People’s Republic of China (“Hong Kong”) and/or your home jurisdiction, we notify you in this Notice that we have classified you as an Individual Professional Investor (“IPI”) of the following products/ markets:

鑑於閣下在中華人民共和國香港特別行政區(「香港」)及/或閣下所在國家的司法管轄區的知識、專長、經驗、資源及/或閣下的監管註冊狀況，我們謹此在本通知書中通知閣下，我們已將閣下歸類為以下產品/市場的個人專業投資者(「個人專業投資者」)：

Products 產品	Markets 市場

1. Risks and Consequences of being treated as a IPI

被視為個人專業投資者的風險及結果

The risks and consequences of being treated as a IPI are described hereof. You should read this part and ensure that you understand it. 本通知書載述被視為個人專業投資者的風險及後果。閣下務請細閱本部分並確保閣下明白有關內容。

Whilst we may from time to time perform some or all of the following when providing services to you, we are **not obliged** under the Code to do the following: -

儘管為閣下提供服務時，我們可能會不時執行以下部分或全部操作，惟我們在《操守守則》項下並無義務執行以下操作：

Information for Customers 有關客戶的資料

- (a) inform you about us and the identity and status of our employees or others acting on our behalf;
通知閣下有關我們及我們或其他代表我們行事的員工的身份及受僱狀況的資料；
- (b) confirm promptly with you the essential features of a transaction after effecting a transaction for you;
為閣下完成交易後，盡快向閣下確認有關該宗交易的重點；
- (c) provide you with documentation about the Nasdaq-Amex Pilot Programme.
向閣下提供關於納斯達克－美國證券交易所試驗計劃的資料文件；

Where you act as an intermediary to effect transactions, upon request from us and/or the regulatory body(ies) and within the applicable time frame, you shall provide the required information about the ultimate Customer and person giving order to the regulatory body(ies) even the request is made after termination of your account(s) with us.

在閣下作為中介進行交易的情況下，應我們及/或監管機構的要求，並在適用時間範圍內，閣下應提供有關最終客戶以及向監管機構發出指示的人士的必要資料，即使是在閣下終止與我們開立的賬戶後提出請求。

Notwithstanding your Professional Investor status with us, we would remind you that you are obliged to observe all rules, regulations and laws of Hong Kong or any of its regulatory authority which apply to you. Accordingly, we would advise that you consult your own legal advisers.

儘管閣下具有我們的專業投資者身份，我們謹此提醒閣下有義務遵守香港或適用於閣下的任何監管機構的所有規則、法規及法律。因此，我們建議閣下諮詢閣下的法律顧問。

If you agree to be treated as a Professional Investor, please sign and return to us the Confirmation Of Treatment As An Individual Professional Investor (the “Confirmation”) as attached herewith.

如閣下同意被視為專業投資者，請簽署並向我們交回隨附的被視為個人專業投資者身份的通知書(「確認書」)。

If you find yourself no longer fall within the definition of Professional Investor as defined in the SFO and the Professional Investor Rules (Cap. 571D of the Laws of Hong Kong), please notify us in writing immediately.

如閣下發現自己不再屬於《證券及期貨條例》及《專業投資者規則》(香港法例第 571D 章)所界定的「專業投資者」,請立即以書面形式通知我們。

If you agree to be treated as a Professional Investor, we are required to carry out a confirmation exercise annually to enable us to ensure that you continue to fulfil the requisite requirements under the Professional Investor Rules.

如閣下同意被視為專業投資者,則我們需要每年進行一次確認,以使我們能夠確保閣下繼續符合《專業投資者規則》中的必要要求。

Should you have any questions regarding this Notice or require further clarification or information, please feel free to contact us or seek professional advice.

如閣下對本通知書有任何疑問或需要進一步的澄清或資料,請隨時與我們聯繫或尋求專業意見。

2. Right to withdraw from being treated as an IPI 撤回被視為個人專業投資者的權利

If you become an IPI, you have the right to withdraw from being treated as an IPI for all products or markets or any part thereof for the purpose of the Code at any time by **giving written notice** to us. After receiving such written notice, we will **process your request within 14 business days** and inform you about the effective date of such withdrawal. Any request of withdrawal given by you shall be without prejudice to and shall not affect the provision of any services rendered and/or products offered to you on the basis that you are an IPI prior to such withdrawal taking effect.

如閣下成為個人專業投資者,閣下有權隨時透過**書面通知**我們,就《操守準則》的目的撤回所有產品或市場或其任何部分的個人專業投資者身份。收到該通知書後,我們將會在**14 個營業日內處理閣下的要求**,並通知閣下有關撤回的生效日期。閣下提出的任何撤回要求均不會損害並且不會影響閣下在該撤回生效前我們將閣下視為個人專業投資者而提供的任何服務及/或產品。

We also have the right, at any time, to stop treating you as an IPI by **giving not less than 14 days written notice** to you. Such will be effective upon the expiry of such notice period, unless you follow the procedures to apply as an IPI as we require.

我們亦有權隨時在**不少於 14 日的時間內書面通知**閣下,停止將閣下視為個人專業投資者。除非閣下按照我們要求的程序申請個人專業投資者身份,否則該通知書將在該通知期到期後生效。

3. Accurate information 準確資料

You confirm that the information and supporting document(s) provided in this assessment form is valid, complete and accurate. If there is any change to the information and relevant supporting document(s) provided and such change will have impact on your continuity of IPI status, you are obligated to notify us of any changes to that information, provide relevant supporting document(s) and/or re-perform the assessment.

閣下確認在本評估表格中所提供的資料及支持文件均為有效、完整及準確。如提供的資料及相關支持文件有任何更改,而該等更改將影響閣下持續作為個人專業投資者的身份,則閣下有義務將有關資料的任何更改通知我們,並提供相關支持文件及/或重新作出評估。

Yours faithfully

Taiping Securities (HK) Co Limited

太平証券(香港)有限公司

謹啟

PART 3 CONFIRMATION OF TREATMENT AS AN INDIVIDUAL PROFESSIONAL INVESTOR

第 3 部分 被視為個人專業投資者身份的確證書

Date 日期：

Customer Name 客戶姓名：

Joint Account Holder Name 聯權共有賬戶持有人姓名：

Securities Cash Account Number 證券現金賬戶號碼：

Securities Margin Account Number 證券保證金賬戶號碼：

I received the Notice of Treatment as an Individual Professional Investor, and the risks and consequences of being treated as an Individual Professional Investor from Taiping Securities (HK) Co Limited (“**Taiping**”).

本人已妥收太平証券（香港）有限公司（「**太平**」）的被視為個人專業投資者身份的通知書以及被視為個人專業投資者的風險及結果。

I provide the following confirmations:

本人謹此提供以下確認：

I hereby confirm that the information provided above is true and complete. I also understand and agree that Taiping will rely on the above information provided to assess whether I am treated as an Individual Professional Investor. Taiping is entitled to rely fully on such information for all purposes, unless they receive notice in writing from us of any change.

本人謹此確認，以上提供的資料均屬真確及完整。本人亦明白及同意，太平將依賴以上提供的資料來評估本人是否被視為個人專業投資者。除非太平收到我們書面通知有任何更改，否則太平有權就所有目的完全依賴該等資料。

I agree and acknowledge that Taiping is not required to provide me with the contract notes, statements of accounts or receipt pursuant to the Securities and Futures (Contracts Notes, Statements of Accounts and Receipts) Rules (Chapter 571Q of the Laws of Hong Kong).

本人同意並承認，太平毋須根據《證券及期貨（成交單據、戶口結單及收據）規則》（香港法例第 571Q 章）向我們提供成交單據，賬單或收據。

I undertake to provide Taiping with any information or appropriate documentary evidence as required by them to confirm my status as a professional investor.

本人承諾，按照太平的要求向太平提供任何資料或適當文件憑證，以確認我們作為專業投資者的身份。

I fully understand the above mentioned Notice of Treatment as a Professional Investor fully explained by Licensed Representative, including:

本人完全明白上述經由持牌代表充分解釋的被視為專業投資者身份的通知書，包括：

(a) I fall within the definition of Professional Investor under the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong) and the Securities and Futures (Professional Investor) Rules (Chapter 571D of the Laws of Hong Kong);

本人屬於《證券及期貨條例》（香港法例第 571 章）及《證券及期貨（專業投資者）規則》（香港法例第 571D 章）所界定的專業投資者；

(b) Taiping has explained to us the risks and consequences of being treated as a Professional Investor and the right to withdraw from being treated as such as contained in the Notice provided to us by them;

太平已向本人解釋被視為專業投資者的風險及後果，以及太平向我們提供的通知書中所載撤回被視為專業投資者的權利；

(c) I fully understand the provisions in the Notice and I am fully aware of the risks and consequences of being treated as a Professional Investor and our right to withdraw from being treated as such for all products or markets or any part thereof, and agree to be treated as such; and

本人完全明白該通知書中的規定，亦完全了解被視為專業投資者的風險及後果，以及我們可撤回為所有產品或市場或其任何部分的專業投資者身份的權利，並同意被這樣看待；及

(d) I agree that I shall, immediately upon request by Taiping, inform regulatory body(ies) of the identity, address, occupation, and contact details of the ultimate beneficiary(ies) if I effect a transaction otherwise than for our own benefits.

本人同意，如本人出於自身利益以外的目的進行交易，而太平有作出要求，本人必須立即應要求將最終受益人的身份、地址、職業及聯繫詳情通知監管機構。

(e) I further agree to provide supporting documents for verification of our status as a Professional Investor upon request.

本人進一步同意，本人須應要求提供支持文件，以驗證本人作為專業投資者的身份。

I hereby give consent to be an Individual PI for the product type(s) and its/their relevant market(s) indicated below:

本人謹此同意成為以下指示的產品類型及其相關市場的專業投資者：

Products 產品	Markets 市場

I undertake to provide Taiping Securities (HK) Co Limited with any information or appropriate documentary evidence as required by them to confirm our status as a professional investor.

本人承諾，按照太平証券（香港）有限公司的要求向太平提供任何資料或適當文件憑證，以確認我們作為專業投資者的身份。

IMPORTANT NOTE: 重要提示：

Back office staff should **not** allow the Customer to be treated as PI in any of below situations:
如有以下情形，後臺人員**不應該**允許客戶被視為專業投資者：
(a) Customer **cannot** pass the portfolio adequacy test or **does not have** valid asset proof; or
客戶**未能**通過資產充足度測試或**無**有效的資產證明；或
(b) Customer **cannot** pass the Individual PI Assessment (“IPI Assessment”) or **does not have** valid proof.
客戶**未能**通過個人專業投資者評估（「個人專業投資者評估」）或**無**有效的證明。

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Settlement Department approved by 由結算部門批核

Name of staff 職員姓名：	Signature of staff 職員簽署：	Date 日期：
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Remarks 備註：

IMPORTANT NOTE: 重要提示：

The back office staff who is responsible for checking is required to provide the assessment form and relevant data to Risk Management and Compliance Department for checking, then for management approval decision if business front line staff's comment contradicts back office staff's assessment content / result.
如前綫業務單位人員與後臺負責查核人員對評估內容 / 結果各持相反意見，負責查核的後臺人員須將此評估表格及相關數據送交風險管理及合規部覆核，再由管理層考慮後審批。

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Assessment by Risk Management and Compliance Department and the Management: 由風險管理及合規部及管理層評核：

	Risk Management and Compliance Department's Comment (if necessary) 風險管理及合規部意見 (如有需要) Name 姓名： Position 職位： Date 日期：
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Management's Decision (if necessary) 管理層決議 (如有需要)

<input type="checkbox"/> Approve 批准	<input type="checkbox"/> Reject 否決
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	Comment 意見 Name 姓名： Position 職位： Date 日期：
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